

Internal Audit Work 2011/12			
corporate audits			
ref	Audit	Risk	Source
2011/12			
cor01	Corporate Reconciliatons	4	Key Financial (Managed) Audit
cor02	Corporate Budgets	4	Key Financial (Managed) Audit & Corporate Plan and/or Risk Register
cor03	Corporate Income	4	Key Financial (Managed) Audit & Corporate Plan and/or Risk Register
cor04	Corporate Asset Management	4	Corporate Plan and/or Risk Register
cor05	Corporate Contracts & Procurement and VFM	3	Corporate Plan and/or Risk Register
cor06	Corporate Diversity & Equality	3	Corporate Plan and/or Risk Register
cor07	Corporate Health & Safety	3	Corporate Plan and/or Risk Register
cor08	Corporate Partnerships	3	Corporate Plan and/or Risk Register
cor09	Corporate Performance Management	3	Corporate Plan and/or Risk Register
cor10	Corporate Governance & AGS	3	Corporate Plan and/or Risk Register
cor11	Corporate ICT	3	Annual audit of ICT
cor12	Corporate Information Management	3	deferred from 2010/11 plan
cor13	Corporate Risk Management	3	new for 2011/12
cor14	Corporate Grants & External Funding	2	deferred from 2010/11 plan
operational audits			
op01	Creditors	4	Key Financial (Managed) Audit
op02	Housing Rents	4	Key Financial (Managed) Audit
op03	Council Tax	3	Key Financial (Managed) Audit
op04	Housing Benefits	3	Key Financial (Managed) Audit
op05	NNDR	3	Key Financial (Managed) Audit
op06	Payroll, Allowances & Expenses	3	Key Financial (Managed) Audit
op07	Sundry Debtors	3	Key Financial (Managed) Audit
op08	Community Health & Leisure	3	Corporate Plan and/or Risk Register
op09	Customer Care & Access to Services	3	Corporate Plan and/or Risk Register
op10	Housing Strategy	3	Corporate Plan and/or Risk Register
op11	HR	3	Corporate Plan and/or Risk Register
op12	Treasury Management	2	Key Financial (Managed) Audit
op13	Voids	2	new for 2011/12
op14	Renovation Grants	2	deferred from 2010/11 plan
op15	Housing Contract Systems	2	From former Strategic Audit Plan 2010/11 to 2014/15
op16	Reprographics	2	From former Strategic Audit Plan 2010/11 to 2014/15

Audit Comission audit area 2011/12 - General Ledger; Bank & Cash (provisional)
Audit Comission audit area 2011/12 - General Ledger (provisional)
Audit Comission audit area 2011/12 - General Ledger; Bank & Cash (provisional)
dependant on 2010/11 Audit Opinion
dependant on 2010/11 Audit Opinion
new for 2011/12
new for 2011/12
deferred from 2010/11 plan
PI data integrity only - annual audit
annual audit
Audit Comission audit area 2011/12 (provisional)
Audit Comission audit area 2011/12 (provisional)
Minimal Audit - (Audit Comission audit area 2010/11 detailed audit)
Minimal Audit - (Audit Comission audit area 2010/11 detailed audit)
Minimal Audit - (Audit Comission audit area 2010/11 detailed audit)
Minimal Audit - (Audit Comission audit area 2009/10 detailed audit)
Minimal Audit - (Audit Comission audit area 2010/11 detailed audit)
deferred from 2010/11 plan
new for 2011/12
new for 2011/12
capacity building, succession planning, employee appraisal & development
deferred from 2010/11 plan
(last audit 2008/09)
(last audit 2003/04)